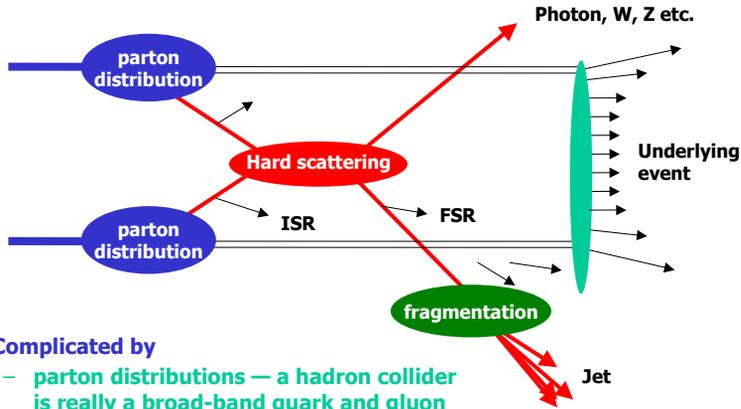
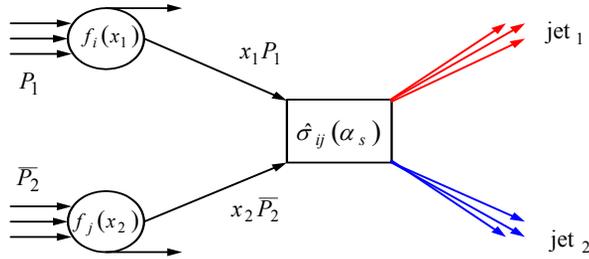


# Hadron-hadron collisions

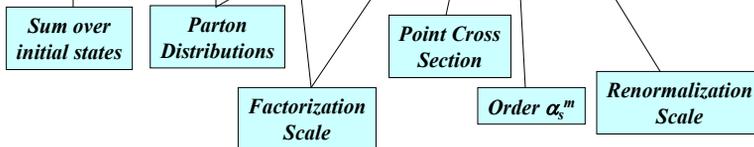


- **Complicated by**
  - parton distributions — a hadron collider is really a broad-band quark and gluon collider
  - both the initial and final states can be colored and can radiate gluons
  - underlying event from proton remnants

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$$\sigma = \sum_{ij} \int dx_1 dx_2 f_i(x_1, \mu_F^2) f_j(x_2, \mu_F^2) \hat{\sigma}_{ij} \left( \alpha_s^m(\mu_R^2), x_1 P_1, x_2 \bar{P}_2, \frac{Q^2}{\mu_F^2}, \frac{Q^2}{\mu_R^2} \right)$$

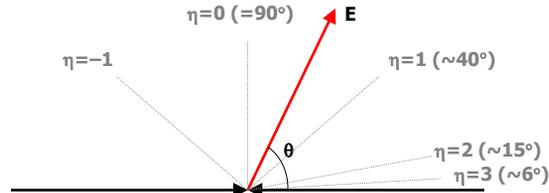


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## Hadron Collider variables

- The incoming parton momenta  $x_1$  and  $x_2$  are unknown, and usually the beam particle remnants escape down the beam pipe
  - longitudinal motion of the centre of mass cannot be reconstructed



- Focus on transverse variables
  - Transverse Energy  $E_T = E \sin \theta$  ( $= p_T$  if mass = 0)
- and longitudinally boost-invariant quantities
  - Pseudorapidity  $\eta = -\log(\tan \theta/2)$  ( $=$  rapidity  $y$  if mass = 0)
  - particle production typically scales per unit rapidity

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## Quantum Chromodynamics

- Gauge theory (like electromagnetism) describing fermions (quarks) which carry an SU(3) charge (color) and interact through the exchange of vector bosons (gluons)
- Interesting features:
  - gluons are themselves colored
  - interactions are strong
  - coupling constant runs rapidly
    - becomes weak at momentum transfers above a few GeV

$$\alpha_s(q^2) = \frac{12\pi}{(33 - 2n_f) \ln q^2 / \Lambda^2}$$

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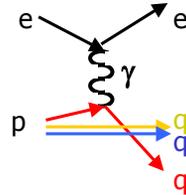
# Quarks

- These features lead to a picture where quarks and gluons are bound inside hadrons if left to themselves, but behave like “free” particles if probed at high momentum transfer

- this is exactly what was seen in deep inelastic scattering experiments at SLAC in the late 1960’s which led to the genesis of QCD

- electron beam scattered off nucleons in a target

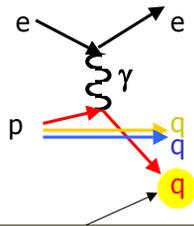
- electron scattered from pointlike constituents inside the nucleon
- $\sim 1/\sin^4(\theta/2)$  behavior like Rutherford scattering
- other (spectator) quarks do not participate



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# Fragmentation



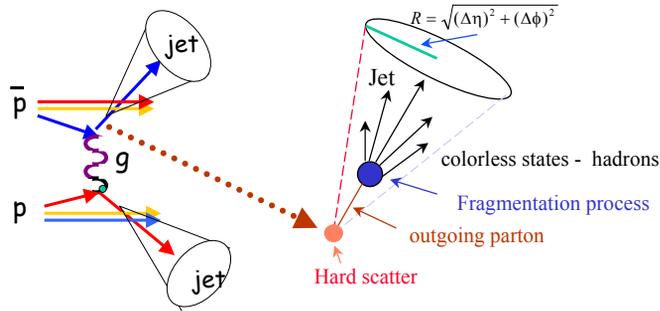
So what happens to this quark that was knocked out of the proton?

- $\alpha_s$  is large
  - lots of gluon radiation and pair production of quarks in the color field between the outgoing quark and the colored remnant of the nucleon
- these quarks and gluons produced in the “wake” of the outgoing quark recombine to form a “spray” of roughly collinear, colorless hadrons: a jet
  - “fragmentation” or “hadronization”

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# What are jets?



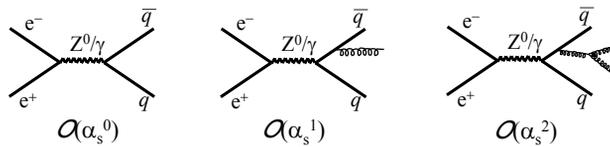
- The hadrons in a jet have small transverse momentum relative to the parent parton's direction and the sum of their longitudinal momenta is roughly the parent parton momentum
- Jets are the experimental signatures of quarks and gluons and manifest themselves as localized clusters of energy

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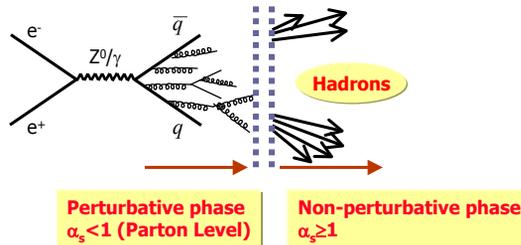


# e<sup>+</sup>e<sup>-</sup> annihilation

- Fixed order QCD calculation of e<sup>+</sup>e<sup>-</sup> → (Z<sup>0</sup>/γ)\* → hadrons :



- Monte Carlo approach (PYTHIA, HERWIG, etc.)



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$$e^+e^- \rightarrow \mu^+\mu^-$$

$$e^+e^- \rightarrow \bar{q}q$$

$$e^+e^- \rightarrow \bar{q}qg$$

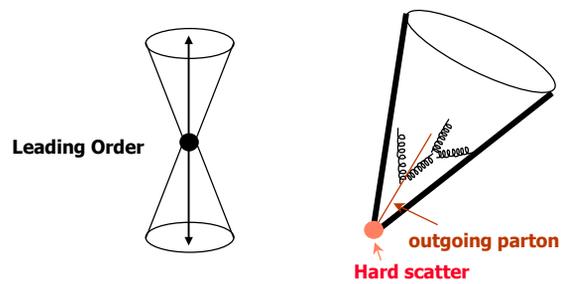


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## Jet Algorithms

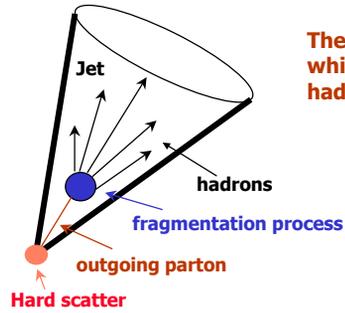
- The goal is to be able to apply the “same” jet clustering algorithm to data and theoretical calculations without ambiguities.
- Jets at the “Parton Level”
  - i.e., before hadronization
  - Fixed order QCD or (Next-to-) leading logarithmic summations to all orders



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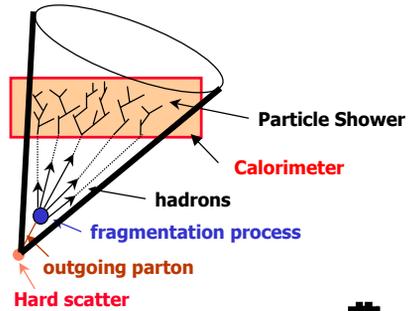


- Jets at the particle (hadron) level



The idea is to come up with a jet algorithm which minimizes the non-perturbative hadronization effects

- Jets at the "detector level"



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## Jet Algorithms

- Traditional Choice at hadron colliders: cone algorithms
  - Jet = sum of energy within  $\Delta R^2 = \Delta\eta^2 + \Delta\phi^2$



- Traditional choice in  $e^+e^-$ : successive recombination algorithms
  - Jet = sum of particles or cells close in relative  $k_T$

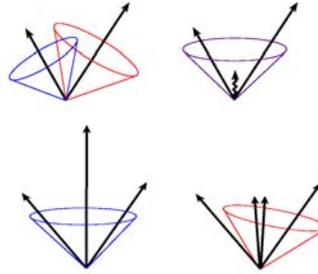


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## Theoretical requirements

- **Infrared safety**
  - insensitive to “soft” radiation
- **Collinear safety**
- **Low sensitivity to hadronization**
- **Invariance under boosts**
  - Same jets solutions independent of boost
- **Boundary stability**
  - maximum  $E_T = \sqrt{s}/2$
- **Order independence**
  - Same jets at parton/particle/detector levels
- **Straightforward implementation**



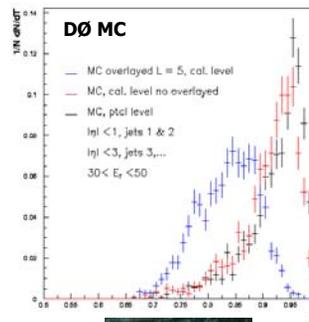
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## Experimental requirements

- **Detector independence**
  - can everybody implement this?
- **Best resolution and smallest biases in jet energy and direction**
- **Stability**
  - as luminosity increases
  - insensitive to noise, pileup and small negative energies
- **Computational efficiency**
- **Maximal reconstruction efficiency**
- **Ease of calibration**
- ...

Effect of pileup on Thrust  $k_T$  algorithm jets,  $E_T > 30$  GeV

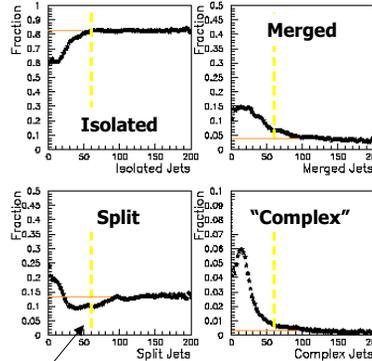


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# Splitting and Merging of Cone Jets

- Jets spread out in the calorimeter, and cannot be perfectly resolved. Some compromise is necessary
- Overlapping cone jets lead to ambiguous jet definitions:
  - which clusters belong in the jet?
  - do I have one or two jets?
- Need to define split/merge rules: e.g.  $D_0$  choices
  - overlapping jets are merged if they share  $> 50\%$  of the lower  $E_T$  jet
  - otherwise they are split: each cell is assigned to the closer jet
  - but the process can get very involved when three or more jets overlap



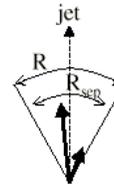
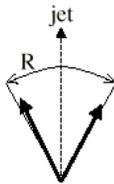
60 GeV



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$R_{sep}$

- Ad hoc parameter introduced to mock up experimental jet separation effects in NLO theoretical calculations



Phenomenological parameter introduced to accommodate difference in NLO cone algorithm and calorimeter algorithm

$R_{sep} = 1.3 R$  determined from jet merging studies in data, in essence jets within a distance of  $1.3 R$  are merged, farther jets are split.

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# k<sub>T</sub> algorithm

Define jets, not by geometric cones, but by more “organic” standards:

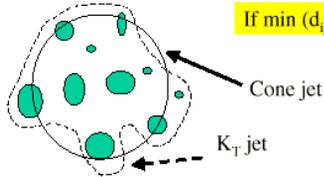
Cal. towers clustered into singles:

$$d_i^{(n)} = E_{Ti}^2$$

pairs:

$$d_{ij}^{(n)} = \min(E_{Ti}^2, E_{Tj}^2) \times \Delta R_{ij}^2 / D$$

If  $\min(d_{ij}^{(n)}, d_i^{(n)}) = d_{ij}^{(n)}$  merge clusters, else keep separate



Repeat iterations until only separate objects remain

$E_T < f \times E_T^{\max} - \Gamma$  cut to drop low  $E_T$ /beam jets

Conceptually:

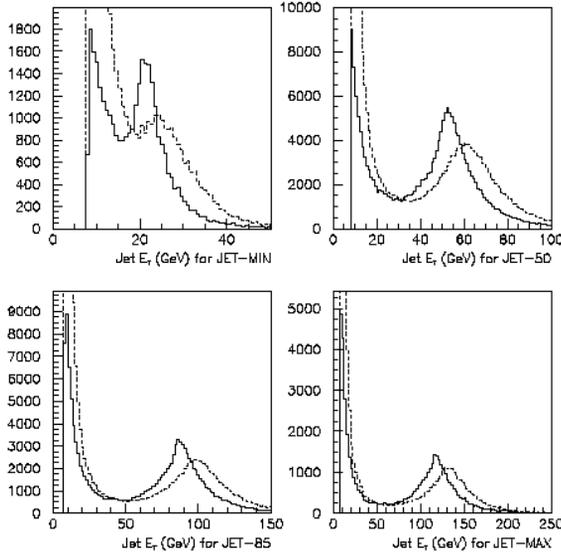
- All clusters w/in radius,  $D$ , are merged (like cone algorithm)
- Clusters  $\gg D$  can be merged if  $\Delta E_T \gg 0$

- Shapes are more natural
- no arbitrary spl/mer param @ calorim.
- no Rsep param @ parton level
- same algorithm @ all levels

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## Does k<sub>T</sub> find the same jets?



Cone jets (solid)

k<sub>T</sub> jets (dashed)

‘seedless’ k<sub>T</sub> algorithm finds many more low  $E_T$  jets

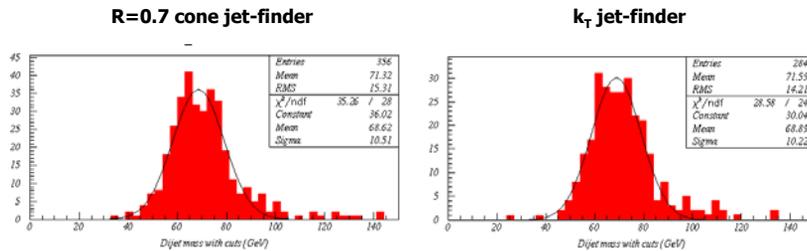
scale difference from 0.7 cone jets even at large  $E_T$

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## $k_T$ vs. cone jets

- It has been suggested that  $k_T$  would give improved invariant mass reconstruction for  $X \rightarrow$  multijet states
- Not clear that this is true in practice:
  - 1000  $Z \rightarrow \bar{b}b$  events from DØ GEANT simulation reconstructed with C++ offline clustering and jet reconstruction



Invariant mass of leading two jets ( $E_T > 20$ ,  $|\eta| < 2$ )  
Jet response corrections included

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## Jet Energy Calibration

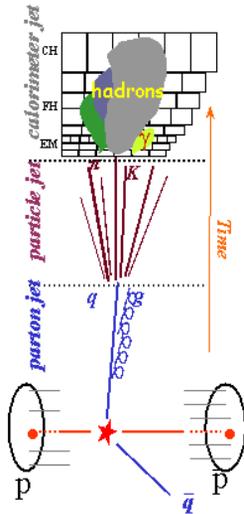
1. Establish calorimeter stability and uniformity
  - pulsers, light sources
  - azimuthal symmetry of energy flow in collisions
  - muons
2. Establish the overall energy scale of the calorimeter
  - Testbeam data
  - Set  $E/p = 1$  for isolated tracks
    - momentum measured using central tracker
  - EM resonances ( $\pi^0 \rightarrow \gamma\gamma$ ,  $J/\psi$ ,  $\Upsilon$  and  $Z \rightarrow e^+e^-$ )
    - adjust calibration to obtain the known mass
3. Relate EM energy scale to jet energy scale
  - Monte Carlo modelling of jet fragmentation + testbeam hadrons
    - CDF
  - $E_T$  balance in jet + photon events
    - DØ

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# Cone Jet Energy Scale

NIM A424 352-392 (1999) (hep-ex/9805009)

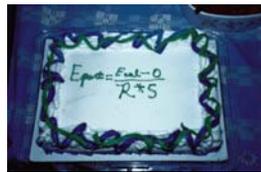


Calorimeter jet

Particle jet

$$E_{\text{jet}}^{\text{ptcl}} = \frac{E_{\text{jet}}^{\text{meas}} - O}{R_{\text{jet}} S_{\text{cone}}}$$

- **Offset (O):** Ur noise, pileup, underlying event (ue)
- **Response (R<sub>jet</sub>):**  $E_{\text{meas}} / E_{\text{true}}$   
(using transverse energy balance in  $\gamma$ -jet events)
- **Showering ( $1/S_{\text{cone}}$ ):** out-of-cone showering loss



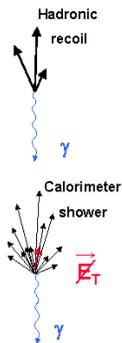
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## Response Correction

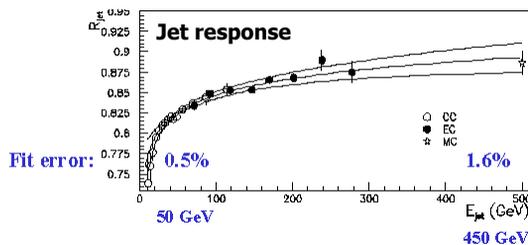
Use  $p_T$  balance in  $\gamma + \text{jet}$  events

- photon calibrated using  $Z \rightarrow e^+e^-$  events
- assume missing  $p_T$  component parallel to jet is due to jet miscalibration



Missing  $E_T$ :  $\vec{E}_T^{\text{miss}} = -\sum_{i=1}^n \vec{P}_T$   
due to hadronic response < 1

$$R_{\text{had}} = 1 + \frac{\vec{E}_T^{\text{miss}} \cdot \hat{n}_\gamma}{E_{T\gamma}} = 1 + MPF$$

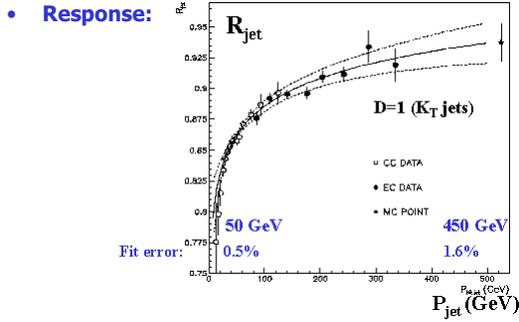
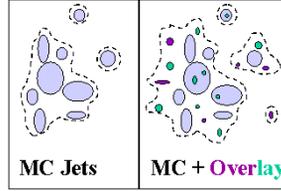


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# k<sub>T</sub> jet energy scale

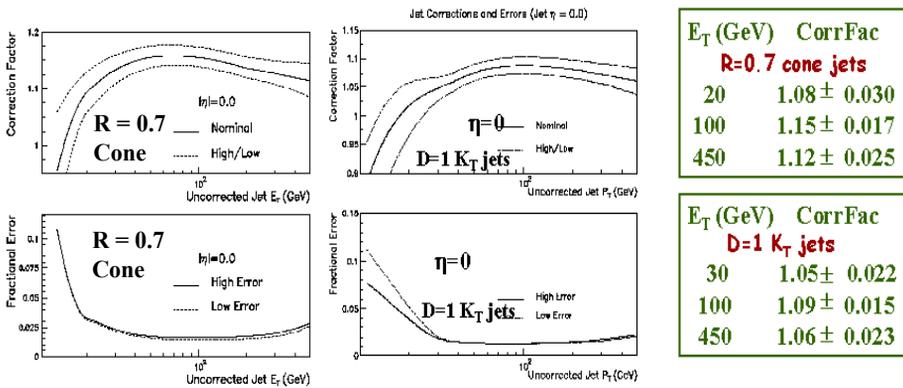
- Similar procedure, but:
  - no out-of-cone showering losses
  - can't just use energy in a cone for the underlying event and noise, so derive this correction from Monte Carlo jets with overlaid crossings



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# Overall Energy scale correction



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# Jet Resolutions

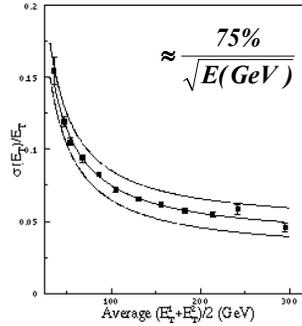
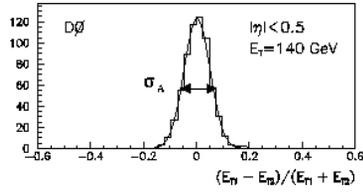
- Determined from collider data using dijet  $E_T$  balance

$$A = \frac{E_{T1} - E_{T2}}{E_{T1} + E_{T2}}$$



$$\frac{\sigma_{ET}}{E_T} = \sqrt{2}\sigma_A$$

In the limit of no soft radiation



ET :	50 GeV	100 GeV	450 GeV
$\sigma_{ET}/ET$ :	0.105	0.075	0.035

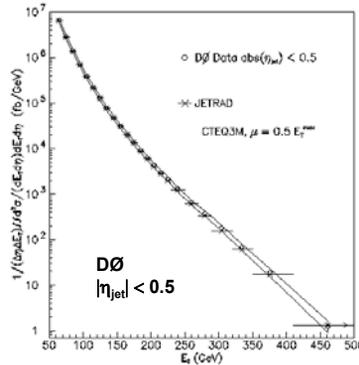
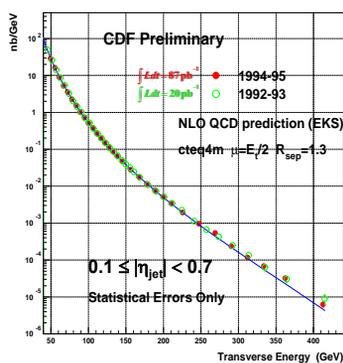
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# Jet cross sections at $\sqrt{s} = 1.8$ TeV

R = 0.7 cone jets

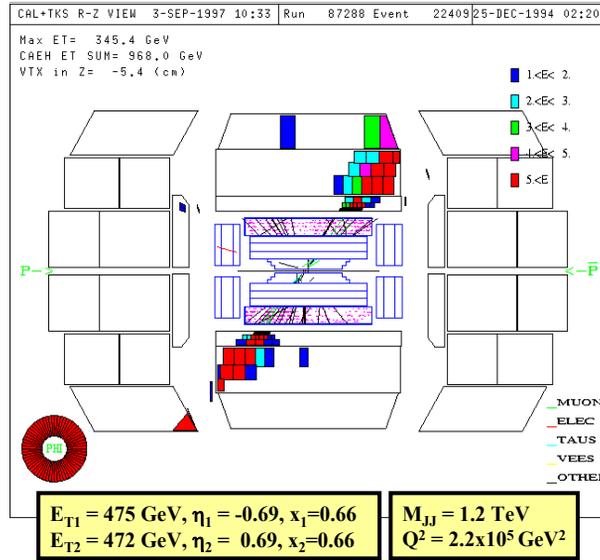
- Cross section falls by seven orders of magnitude from 50 to 450 GeV
- Pretty good agreement with NLO QCD over the whole range



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# Highest $E_T$ jet event in $D\phi$



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# What's happening at high $E_T$ ?

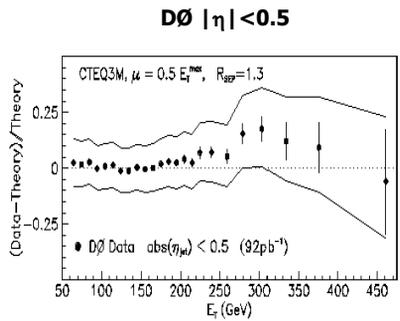
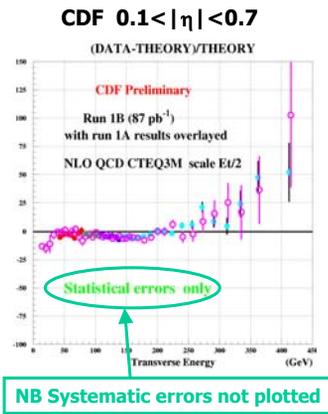


Figure 1: "The horse is dead"

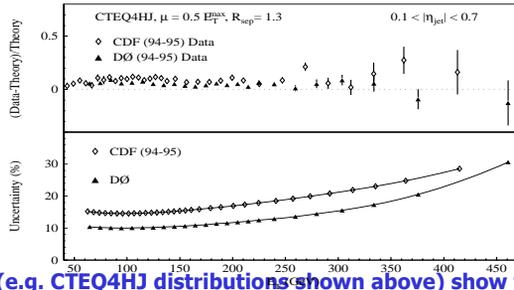
- So much has been said about the high- $E_T$  behaviour of the cross section that it is hard to know what can usefully be added:

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# The DØ and CDF data agree

- DØ analyzed  $0.1 < |\eta| < 0.7$  to compare with CDF
  - Blazey and Flaugher, hep-ex/9903058 Ann. Rev. article



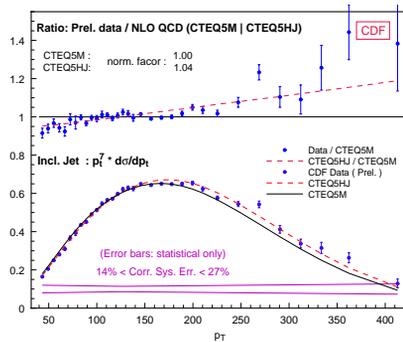
- Studies (e.g. CTEQ4HJ distributions shown above) show that one can boost the gluon distribution at high- $x$  without violating experimental constraints\*; results are more compatible with CDF data points
  - \*except maybe fixed-target photons, which require big  $k_T$  corrections before they can be made to agree with QCD (see later)

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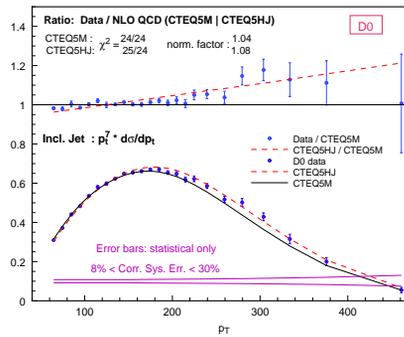


# Jet data with latest CTEQ5 PDF's

- CDF data



- DØ data

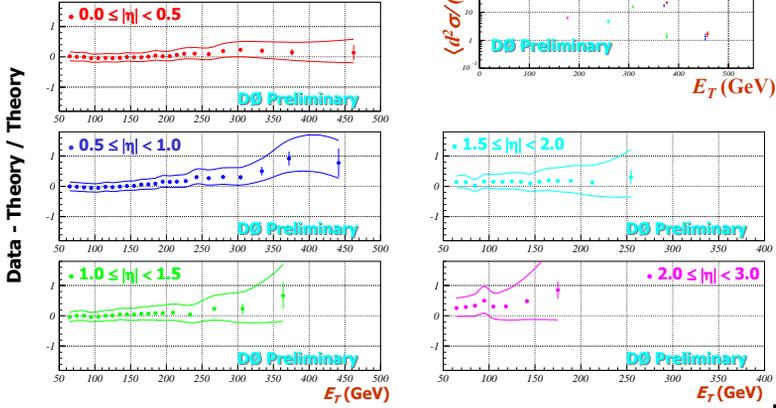


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# Forward Jets

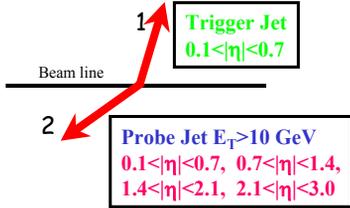
- DØ inclusive cross sections up to  $|\eta| = 3.0$
- Comparison with JETRAD using CTEQ3M,  $\mu = E_T^{\max}/2$



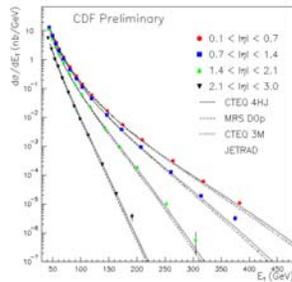
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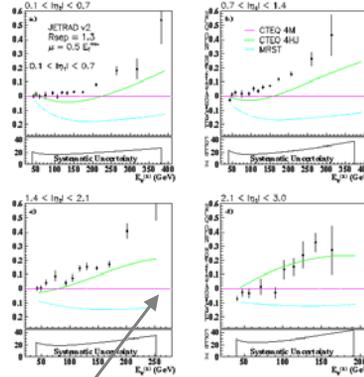
# Triple differential dijet cross section $\frac{d^3\sigma}{dE_T^1 d\eta_1 d\eta_2}$



Can be used to extract or constrain PDF's



CDF Preliminary

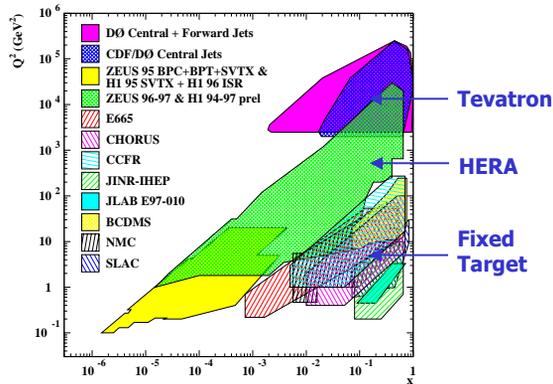


At high  $E_T$ , the same behaviour as the inclusive cross section, presumably because largely the same events

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## Tevatron jet data can constrain PDF's



- For dijets:

$$x_{1(2)} = \sum_{i=1}^2 + (-) \frac{E_{T,i}}{\sqrt{s}} \exp(\eta_i) \quad \text{and} \quad Q^2 = E_{T,1} E_{T,2}$$

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## What have we learned from all this?

- Whether nature has actually exploited the “freedom” to enhance gluon distributions at large  $x$  will only be clear with the addition of more data
  - with  $2\text{fb}^{-1}$  the reach in  $E_T$  will increase by  $\sim 70$  GeV and should make the asymptotic behaviour clearer
- whatever the Run II data show, this has been a useful lesson:
  - parton distributions have uncertainties, whether made explicit or not
  - we should aim for a full understanding of experimental systematics and their correlations

It's a good thing

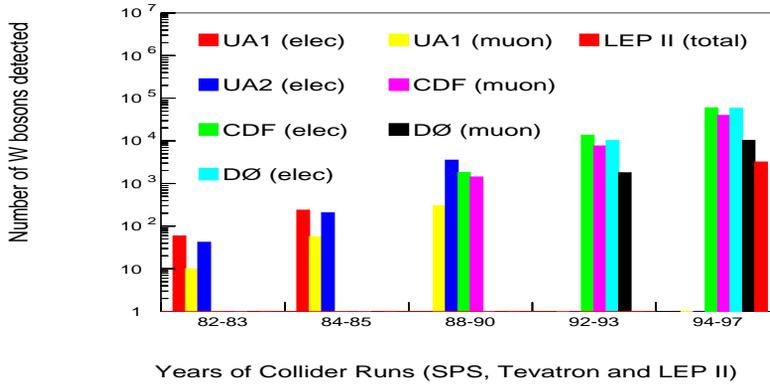


- We can then use the jet data to reduce these uncertainties on the parton distributions

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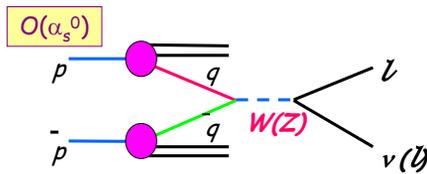
# W samples



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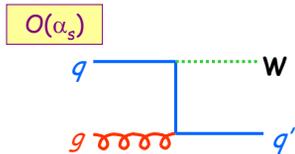
## W and Z production at hadron colliders



Production dominated by  $\bar{q}q$  annihilation  
 (~60% valence-sea, ~20% sea-sea)

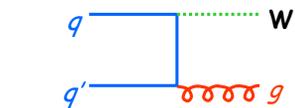
Due to very large  $pp \rightarrow jj$  production, need to use leptonic decays

BR ~ 11% (W), ~3% (Z) per mode



Higher order QCD corrections:

- Boson produced with mean  $p_T \sim 10$  GeV
- Boson + jet events (W+jet ~ 7%,  $E_T^{\text{jet}} > 25$  GeV)
- Inclusive cross sections larger
- Boson decay angular distribution modified



Benefits of studying QCD with W&Z Bosons:

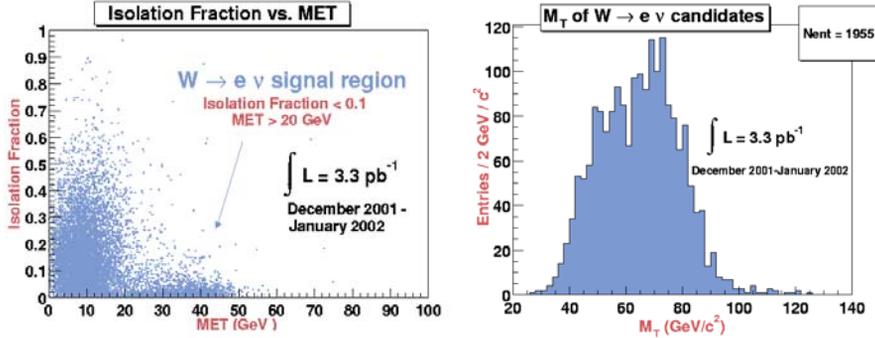
- Distinctive event signatures
- Low backgrounds
- Large  $Q^2$  ( $Q^2 \sim \text{Mass}^2 \sim 6500$  GeV<sup>2</sup>)
- Well understood Electroweak Vertex

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# W identification

- Isolated lepton + missing  $E_T$

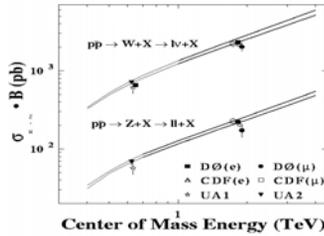


$$\text{Transverse mass } m_T = \sqrt{2p_T(e)p_T(\nu)(1 - \cos\Delta\phi)}$$

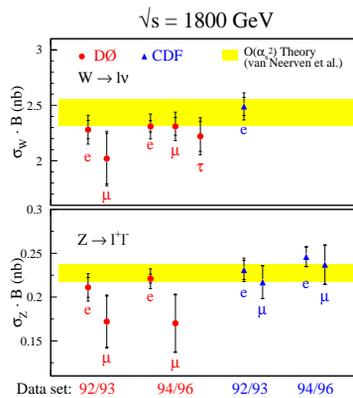
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# Cross section measurements



- Test  $O(\alpha^2)$  QCD predictions for W/Z production
  - $\sigma(pp \rightarrow W + X) B(W \rightarrow \lambda\nu)$
  - $\sigma(pp \rightarrow Z + X) B(Z \rightarrow \lambda\lambda)$
- QCD in excellent agreement with data
  - so much so that it has been seriously suggested to use  $\sigma_W$  as the absolute luminosity normalization in future



Note: CDF luminosity normalization is 6.2% higher than D0 (divide CDF cross sections by 1.062 to compare with D0)

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# W mass measurement

- One of the major goals of the Tevatron program: together with  $m_\tau$  provides strong constraints on the SM and  $m_H$
- Simplest method:
  - fit transverse mass distribution
- Recent method:
  - also fit  $p_T(\text{lepton})$  and missing  $E_T$  and combine the three
- It's all in the systematics
  - must constantly fight to keep beating them down as the statistical power of the data demands more precision
  - Use the Z to constrain many effects
    - Energy scale
    - $p_T$  distribution
    - etc etc.

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- DO 1999 measurement

TABLE II. Uncertainties in the combined  $m_\tau$ ,  $p_T(e)$ , and  $p_T(\nu)$  W boson mass measurement in MeV, for the forward sample (first column), and the combined central and forward 1994–1995 sample (second column).

Source	Forward	Forward + Central
W boson statistics	108	61
Z boson statistics	181	59
Calorimeter linearity	52	25
Calorimeter uniformity	...	8
Electron resolution	42	19
Electron angle calibration	20	10
Recoil response	17	25
Recoil resolution	42	25
Electron removal	4	12
Trigger and selection bias	5	3
Backgrounds	20	9
Parton distribution functions	17	7
Parton luminosity	2	4
$p_T(W)$ spectrum	25	15
W boson width	10	10
Radiative decays	1	12

95 MeV total

- Summer 2002  $m_W$  measurements:
  - Hadron colliders 80.454 (59)
  - LEP 80.450 (39)
- Anticipated
  - With  $2\text{fb}^{-1}$ ,  $\Delta m_W \sim 27$  MeV per experiment
  - With  $15\text{fb}^{-1}$ ,  $\Delta m_W \sim 17$  MeV per experiment

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# W and Z p<sub>T</sub>

- Large p<sub>T</sub> (> 30 GeV)
  - use pQCD, O(α<sub>s</sub><sup>2</sup>) calculations exist
- Small p<sub>T</sub> (< 10 GeV)
  - resum large logarithms of M<sub>W</sub><sup>2</sup>/p<sub>T</sub><sup>2</sup>

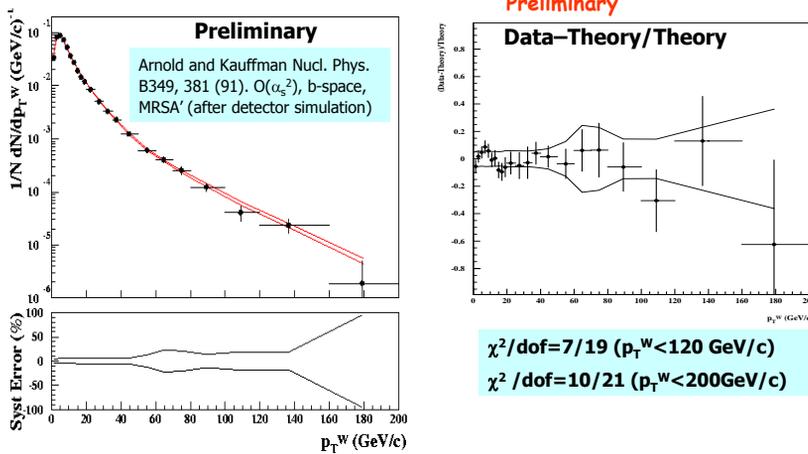
$$\frac{d\sigma}{dp_T^2} \sim \frac{\alpha_s}{p_T^2} \ln\left(\frac{M_W^2}{p_T^2}\right) \left[ v_1 + v_2 \alpha_s \ln^2\left(\frac{M_W^2}{p_T^2}\right) \right]$$

- Match the two regions and include non-perturbative parameters extracted from data to describe p<sub>T</sub> ~ Λ<sub>QCD</sub>

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## DØ p<sub>T</sub><sup>W</sup> measurement

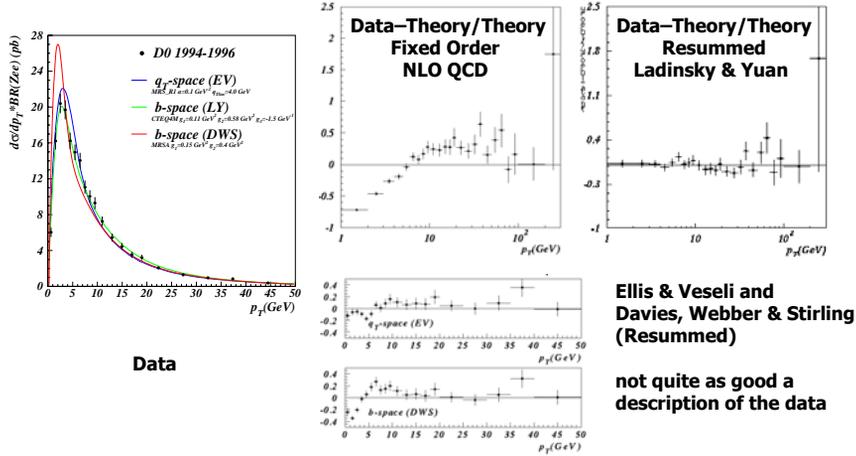


- Resolution effects dominate at low p<sub>T</sub>
- High p<sub>T</sub> dominated by statistics and backgrounds



# D0 p<sub>T</sub><sup>2</sup> measurement

- New D0 results hep-ex/9907009



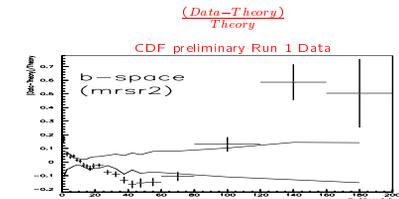
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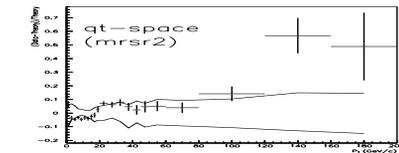
# CDF p<sub>T</sub><sup>W</sup> and p<sub>T</sub><sup>Z</sup>

Ellis, Ross, Veseli, NP B503, 309 (97), O(α<sub>s</sub>),  
q<sub>T</sub> space, after detector simulation.

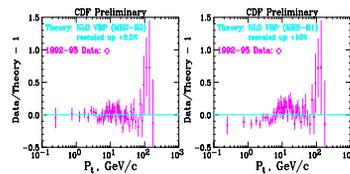
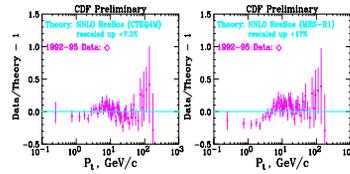
ResBos: Balasz, Yuan, PRD 56, 5558 (1997), O(α<sub>s</sub><sup>2</sup>),  
b-space  
VBP: Ellis, Veseli, NP B511,649 (1998), O(α<sub>s</sub>), q<sub>T</sub>-  
space



$\chi^2 / d.o.f. = 1.85$  ( $P_T^W < 120$  GeV/c), 2.49 (< 200 GeV/c)



$\chi^2 / d.o.f. = 1.05$  ( $P_T^W < 120$  GeV/c), 1.71 (< 200 GeV/c)

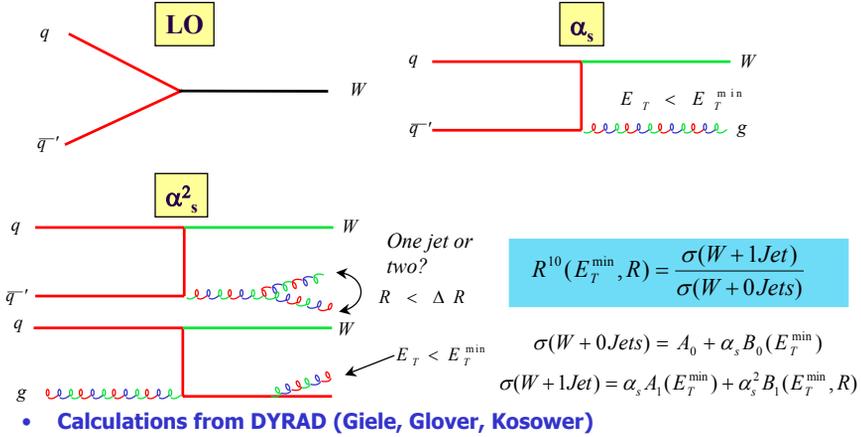


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# W + jet production

- A test of higher order corrections:

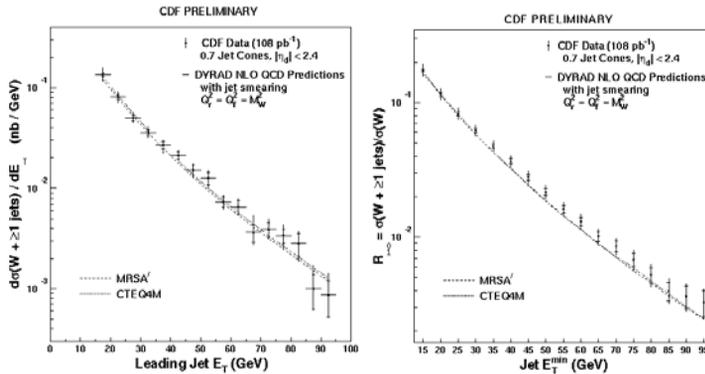


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# W + jet measurements

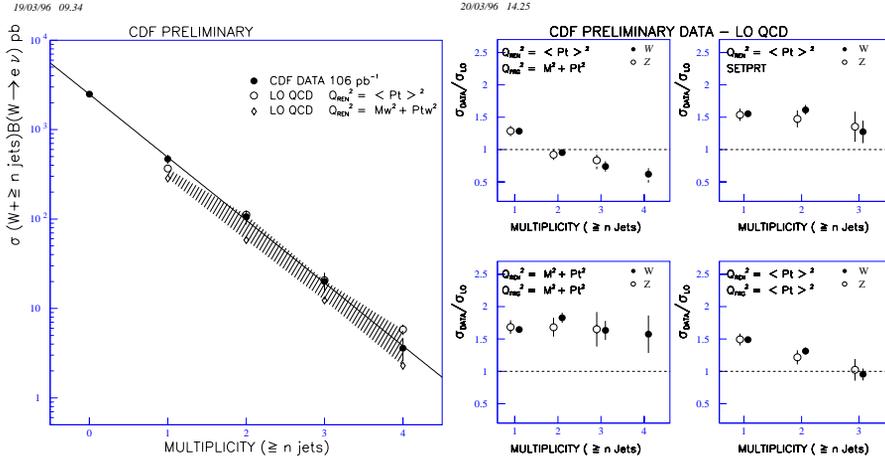
- $D\bar{O}$  used to show a  $W+1jet/W+0jet$  ratio badly in disagreement with QCD. This is no longer shown (the data were basically correct, but there was a bug in the  $D\bar{O}$  version of the DYRAD theory program).
- CDF measurement of  $W+jets$  cross section agrees well with QCD:



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# CDF W/Z + n jets



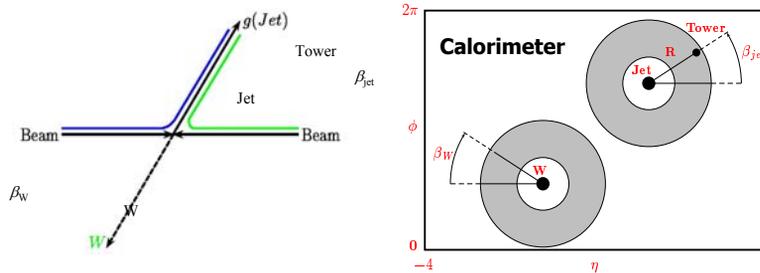
- Data vs. tree-level predictions for various scale choices
- These processes are of interest as the background to top, Higgs, etc.

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# Colour coherence in W + jet events

Compare pattern of soft particle flow around jet to that around the W



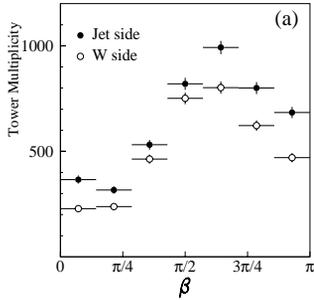
In each annular region, measure number of calorimeter towers with  $E_T > 250 \text{ MeV}$

Plot ratio of jet-side to W-side as a function of angle  $\beta$  ( $\beta = 0$  is "near beam",  $\beta = \pi$  is "far beam")

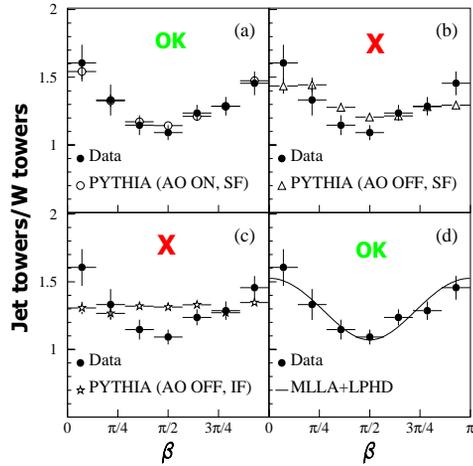
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# Colour coherence in W + jet events



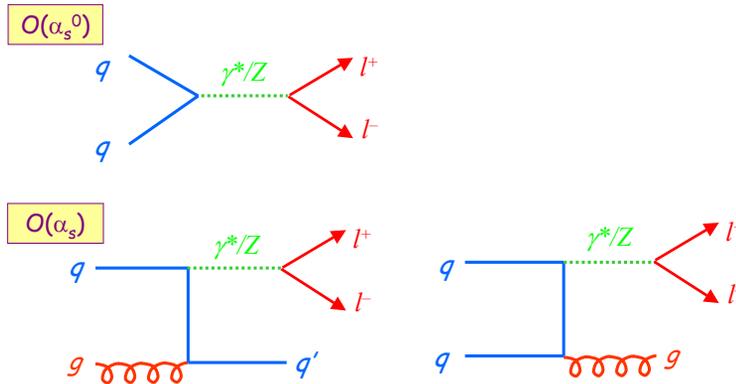
Data agree with PYTHIA and MLLA+LPHD;  
Do not agree with models without coherence



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# Drell-Yan process

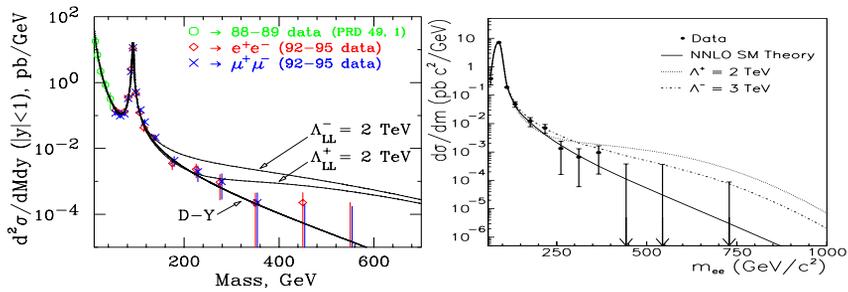


- Measure  $d\sigma/d_M$  for  $\bar{p}p \rightarrow l^+l^- + X$
- Because leptons can be measured well, and the process is well understood, this is a sensitive test for new physics ( $Z'$ , compositeness)

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## Drell-Yan data from CDF and DØ



- **Compositeness limits: 3 – 6 TeV**  
 Assuming quarks & leptons share common constituents  
 (Limits depend on assumed form of coupling)

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## 3 generations of fundamental fermions

- leptons  $q = 1$   
 $q = 0$
- quarks  $q = 2/3$   
 $q = -1/3$

**1975, Perl et al.** PRL 35, 1489 (1975)

**1977, Herb et al.** PRL 39, 252 (1977)

**No flavor changing neutral currents:**  
**b must have a weak isospin partner = top**

- The top quark was discovered at Fermilab in 1995  
 (and the tau neutrino was directly observed for the first time in 2000)

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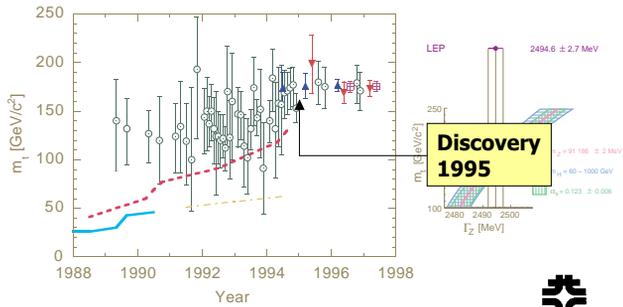


# Searches for top

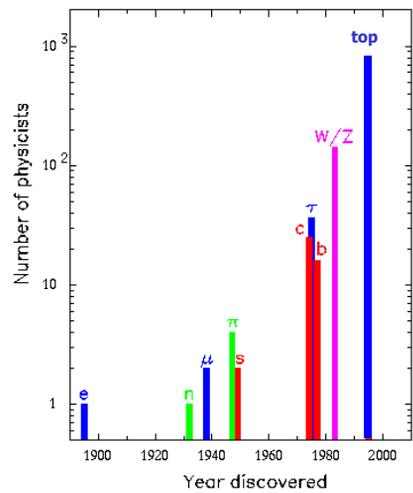
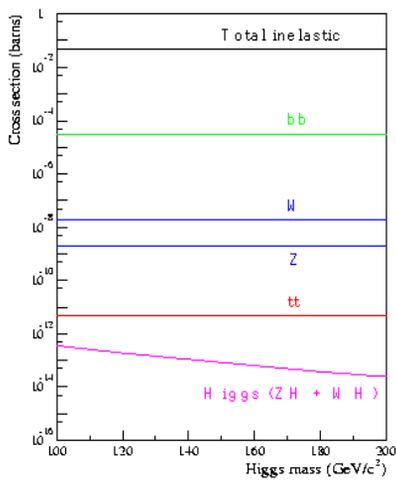
- Direct searches

1979-84	PETRA (DESY)	$e^+e^-$	$m_{top} > 23.3$ GeV
1987-90	TRISTAN (KEK)	$e^+e^-$	$m_{top} > 30.2$ GeV
1989-90	SLC (SLAC)	$e^+e^-$	$m_{top} > 45.8$ GeV
	LEP (CERN)		
1990	SppS (CERN)	pp	$m_{top} > 69$ GeV
1991	Tevatron (FNAL)	pp	$m_{top} > 77$ GeV
1992	Tevatron (FNAL)	pp	$m_{top} > 91$ GeV
1994	Tevatron (FNAL)	pp	$m_{top} > 131$ GeV

- Indirect mass determinations as a function of time



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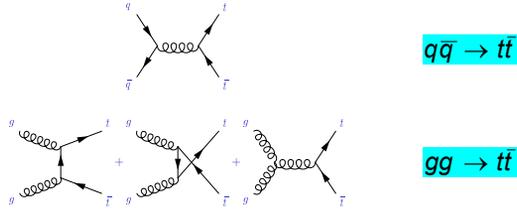


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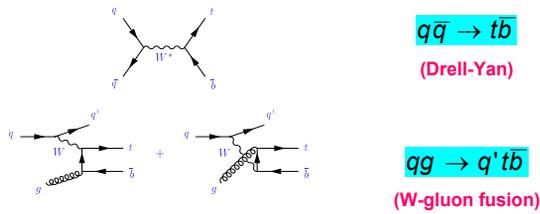


# Top quark production

- Top-antitop quark pair production



- Single top quark production

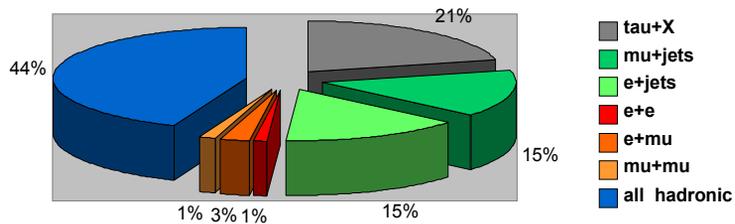
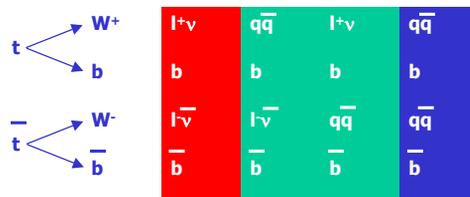


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# Top quark decays

- Standard Model (with  $m_t > m_W + m_b$ )
  - expect  $t \rightarrow Wb$  to dominate



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# Event selection

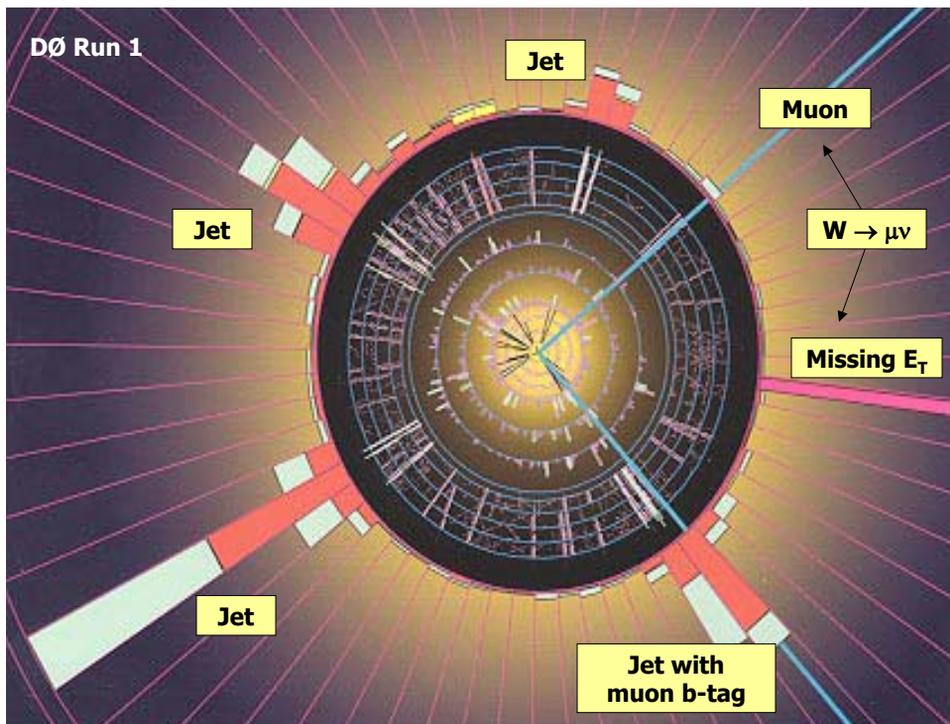
- Requirements:
  - High  $p_T$  Leptons (leptonic W decay)
  - Large Missing  $E_T$  (neutrinos)
  - 3 or more Jets with large  $E_T$
  - Jets from b-quarks
    - Soft lepton tagged b-jets (CDF, DØ)
    - Jet tagged in the SVX (CDF)
- Run 1
  - $\sim 120 \text{ pb}^{-1}$  = handful of  $t\bar{t}$  events ( $\sim 100$ / experiment)

- Run 2a :  $2 \text{ fb}^{-1}$

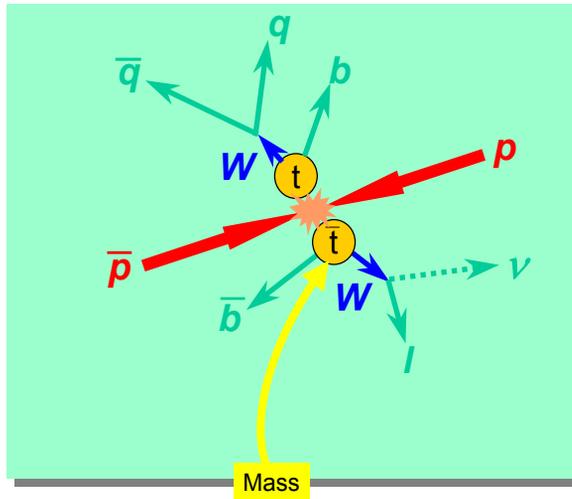
dilepton	200 events
lepton+ $\geq 4$ jets	1800 events
lepton+ $\geq 3$ jets/b-tag	1400 events
lepton+ $\geq 4$ jets/2 b-tags	450 events

- Run 2b :  $15 \text{ fb}^{-1}$

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# Top quark properties



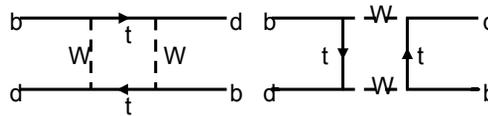
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# Top Quark Mass

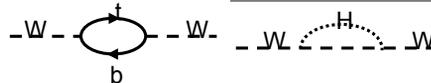
- Fundamental parameter of Standard Model (SM)
- Affects predictions of SM via radiative corrections:

- BB mixing



- W and Z mass

$$\delta M_W \propto m_t^2 \ln(M_H)$$



- measurements of  $M_W$ ,  $m_t$  constrain  $M_H$

- Large mass of top quark
  - Yukawa coupling  $\approx 1$
  - may provide clues about electroweak symmetry breaking

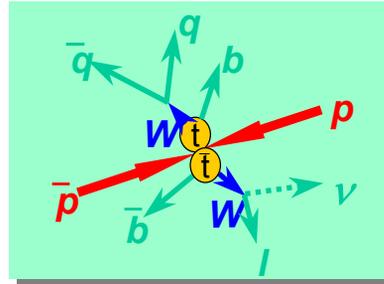
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# Lepton + Jets Channel

$$t\bar{t} \rightarrow l\nu b q \bar{q}\bar{b}$$

- 1 unknown ( $p_z^{\nu}$ )
- 3 constraints
  - $m(l\nu) = m(qq) = m_W$
  - $m(l\nu b) = m(qqb)$
- 2-constraint kinematic fit
- up to 24-fold combinatoric ambiguity
- compare to MC to measure  $m_t$

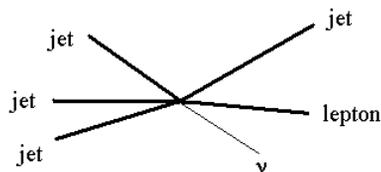


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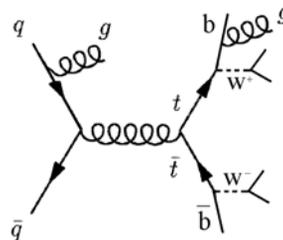


# Complications

- **Combinatorics:**
  - 4 possible jlv pairings
  - there are 12 possible assignments of the 4 jets to the 4 quarks (bbqq)
    - only 6 if one of the jets is b-tagged
    - only 2 for events with double b-tagged jets



- **Gluon radiation can add extra jets**

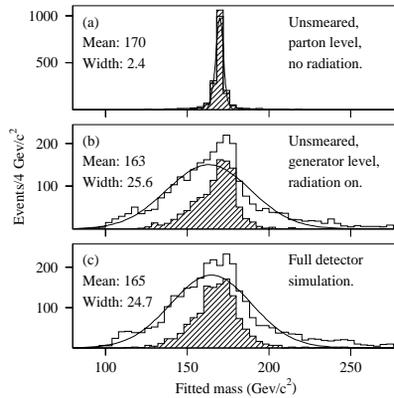


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# Combinatorics

- Monte Carlo tests:
  - shaded plots show correct combinations (Herwig MC,  $m_t = 175 \text{ GeV}$ )



The width and shape of the fitted mass distribution is due primarily to

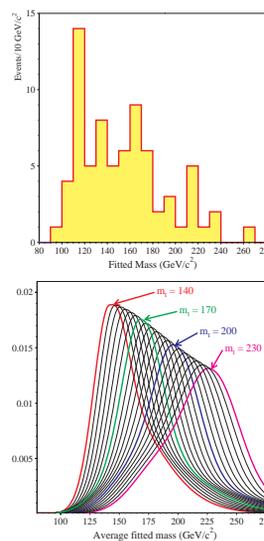
- jet combinatorics
- QCD radiation
  - Double b-tag helps... but, too few events in RunI

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# Basic Procedure

- In a sample of  $t\bar{t}$  candidate events
  - For each candidate make a measurement of  $X = f(m_t)$ , where  $X$  is a suitable estimator for the top mass
    - e.g. result of the kinematic fit
  - This distribution contains signal and background.
- From MC determine shape of  $X$  as a function of  $m_t$ 
  - Determine shape of  $X$  for background (MC & data).
  - Add these together and compare with data

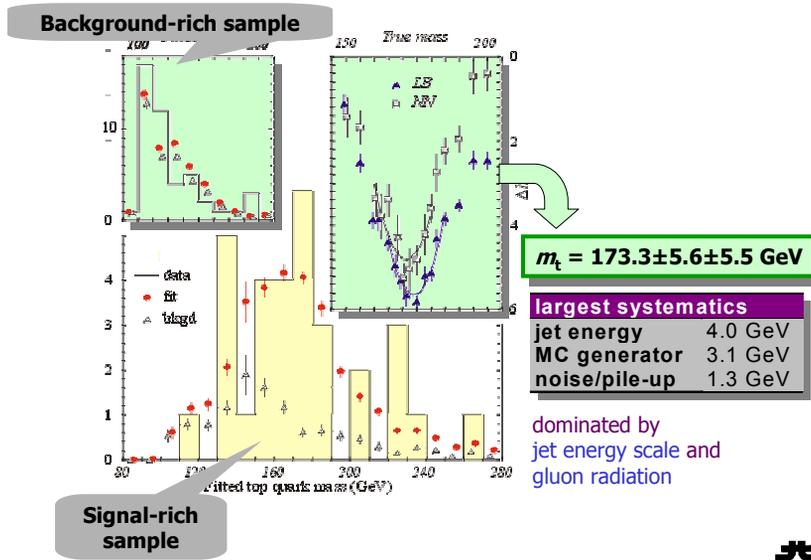


likelihood fit for  $m_t$

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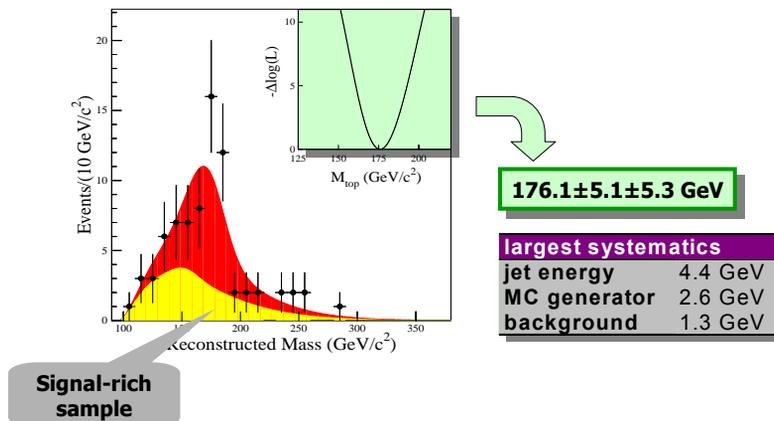
## Lepton + Jets channel (DØ)



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## Lepton + Jets channel (CDF)

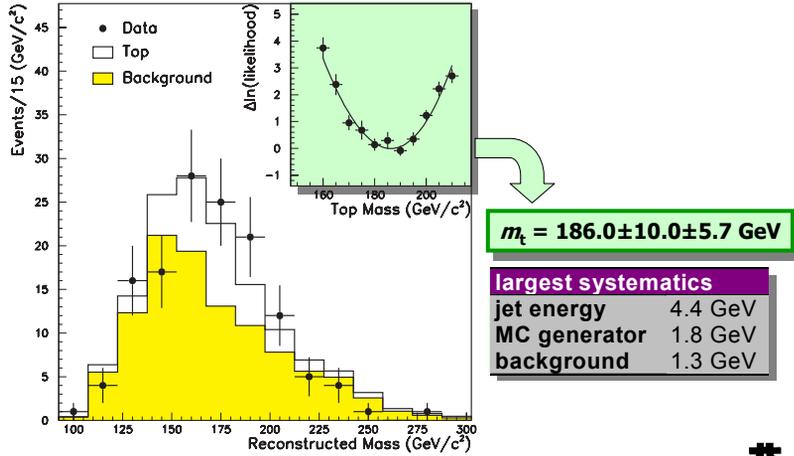


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# All-hadronic channel (CDF)

- Large background
- 3-constraint kinematic fit



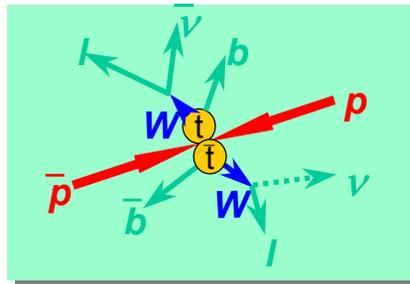
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# Dilepton Channel

- dilepton channel:
  - 6 particles in the final state
  - We measure 14 quantities:
    - 2 charged leptons
    - 2 jets
    - $p_x(\nu) + p_x(\bar{\nu})$
    - $p_y(\nu) + p_y(\bar{\nu})$
  - 4 unknowns
    - only  $\Sigma p_T$  known
  - 3 constraints
    - $m(l^-\bar{\nu}) = m(l^+\nu) = m_W$
    - $m(l^-\bar{\nu}b) = m(l^+\nu\bar{b})$

$$t\bar{t} \rightarrow l^-\bar{\nu}b l^+\nu\bar{b}$$



- ⇒ underconstrained
- ⇒ dynamical likelihood analysis

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# Dilepton channel

- Two different strategies ...
  - Find a kinematic variable with a strong mass dependence, OR, calculate a weight as a function of  $m_t$ 
    - Assume  $m_t$ 
      - 18 degrees of freedom,
      - 14 known quantities + 4 constraints
    - $t$  and  $\bar{t}$  momenta can be determined
    - Assign a weight
      - using parton distribution functions and lepton  $p_T$ 's (an extension of ideas proposed by Kondo and Dalitz & Goldstein)
      - Characterizes how likely this event originates from a top quark of mass  $m_t$
- Weight curve is determined for each assumed value of  $m_t$  for a given event

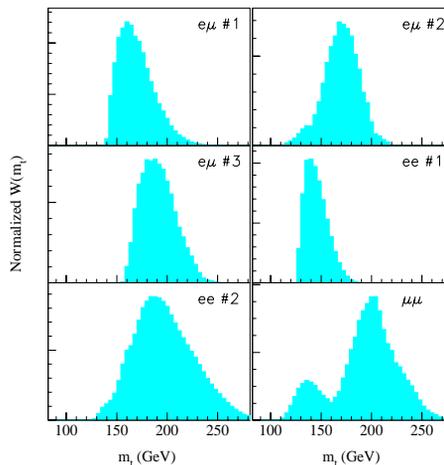
K. Kondo, J. Phys. Soc. Jpn. 57, 4126 (1988) and 60, 836 (1991).  
R.H. Dalitz and G.R. Goldstein, Phys. Rev. D 51, 4763 (1995).

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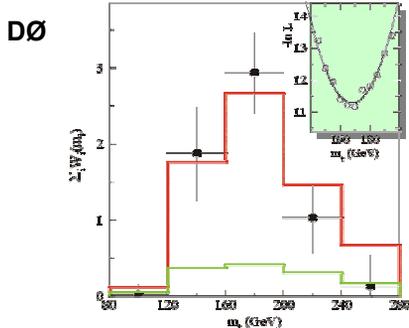
## $D\bar{0}$ dilepton sample weight curves

- For each event:
  - Assume a value of  $m_t$
  - Reconstruct event
  - Calculate weight
  - Repeat for all  $m_t$
- Combine events
- Fit the resulting distribution to MC samples as before



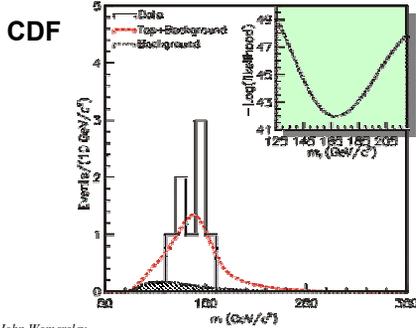
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$m_t = 168.4 \pm 12.3 \pm 3.6 \text{ GeV}$

largest systematics	
jet energy	2.4 GeV
MC generator	1.8 GeV
noise/pile-up	1.3 GeV



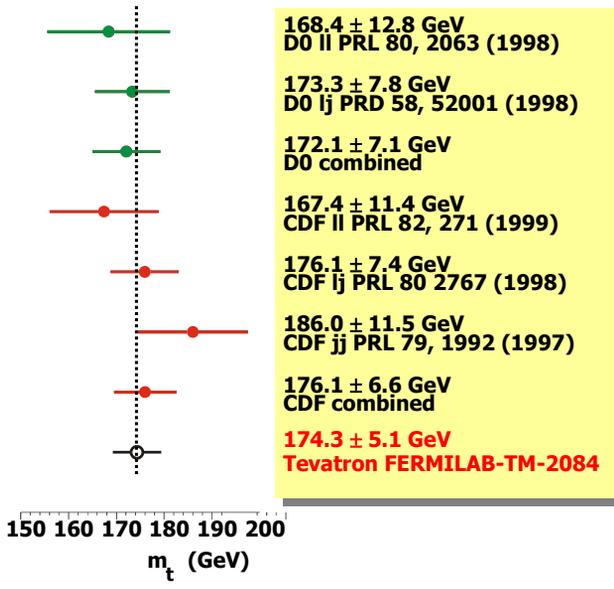
$m_t = 167.4 \pm 10.3 \pm 4.8 \text{ GeV}$

largest systematics	
jet energy	3.8 GeV
gluon radiation	2.7 GeV

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## Top Quark mass

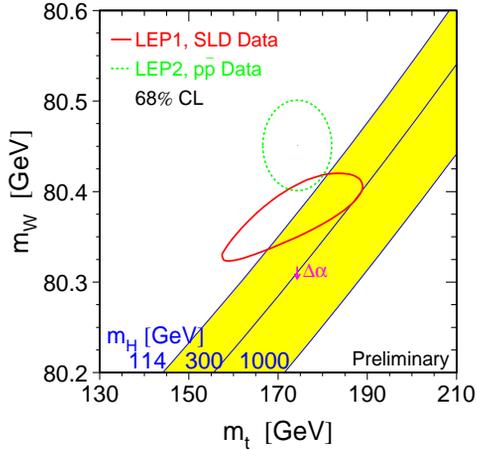
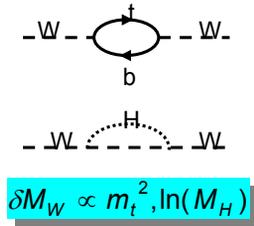


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# Constraints on the Higgs Mass

- $m_W = 80.451 \pm 0.033$  GeV ( LEP EWWG, Winter 2002)



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## Mass: future prospects

- Lepton+jets channel:

	Run I (DØ)	Run IIa (2 fb <sup>-1</sup> )	w/ Z→bb
statistics	5.6 GeV	1.3 GeV	
jet p <sub>T</sub> scale	4.0 GeV	2.2 GeV	0.5 GeV
MC generator	3.1 GeV	0.7 GeV	
MC model	1.6 GeV	0.4 GeV	
fit procedure	1.3 GeV	0.3 GeV	
Total syst	5.5 GeV	2.3 GeV	1.0 GeV
Total	7.8 GeV	2.7 GeV	1.6 GeV

- Combine with dilepton channel (smaller systematics)
- Improvements:
  - calibrate jet p<sub>T</sub> scale using data
    - Z+jet, γ+jet, W→jj, Z→bb
  - constrain gluon radiation effects in MC with data
  - Maybe use stringent cuts to reduce effects of hard gluon radiation...
  - double b-tag ⇒ reduce combinatorics
- Total uncertainty ≈ 2-3 GeV (per experiment)
- combined with W mass uncertainty ≈ 30 MeV

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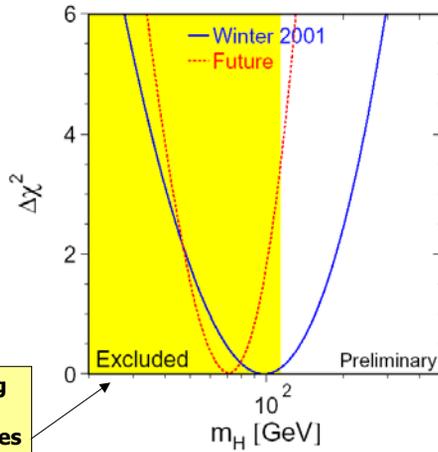
# Indirect Constraints on Higgs Mass

- Future Tevatron W and top mass measurements, per experiment

$2 \text{ fb}^{-1}$	$\Delta m_W$
$15 \text{ fb}^{-1}$	$\pm 27 \text{ MeV}$
$15 \text{ fb}^{-1}$	$\pm 15 \text{ MeV}$

$2 \text{ fb}^{-1}$	$\Delta m_t$
$15 \text{ fb}^{-1}$	$\pm 2.7 \text{ GeV}$
$15 \text{ fb}^{-1}$	$\pm 1.3 \text{ GeV}$

Impact on Higgs mass fit using  $\Delta m_W = 20 \text{ MeV}$ ,  $\Delta m_W = 1 \text{ GeV}$ ,  $\Delta \alpha = 10^{-4}$ , current central values  
 M. Grünewald et al., hep-ph/0111217

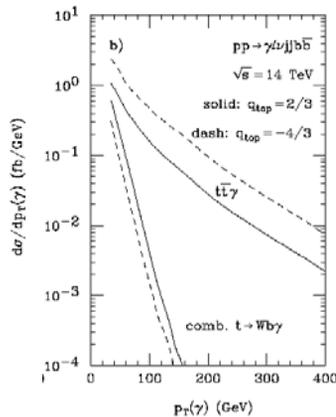


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# An idea for the future

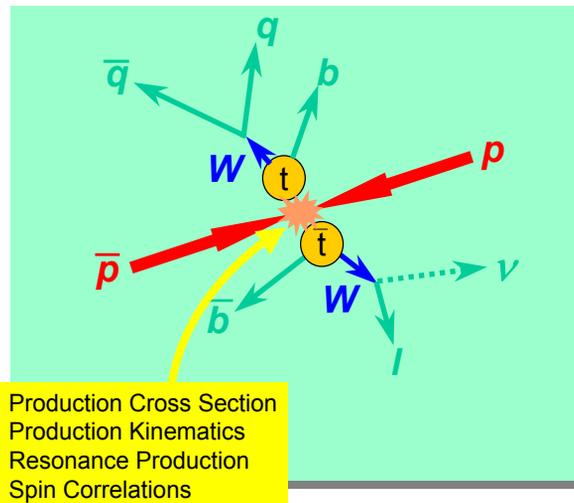
- Use  $t\bar{t}\gamma$  events to measure the electric charge of the top quark
  - How do we know it's not  $4/3$ ?
    - Baur et al., hep-ph/0106341



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## Top quark production properties



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## Cross Section

- **Why?**
  - test of QCD predictions
  - Any discrepancy indicates possible new physics:
    - production via a high mass intermediate state
    - Non Wb decay models
- **How?**
  - Measurement performed using various final states
  - Dilepton channels
    - ee, eμ, μμ and eν final states
  - Lepton + jets channels
    - e+jets, μ+jets
      - topological analysis
      - b-tagging (using soft leptons from semileptonic decays of the b)
  - All-jets channel
    - Use topological variables
    - exploit b-tagging using soft leptons
      - Combine them using a Neural network technique

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# Cross Section Event Samples

- Dilepton channel:  $ee, e\mu, \mu\mu + 2 \text{ jets} + \text{missing } p_T$

	$D\emptyset$		CDF	
	$ee, e\mu, \mu\mu$	$ee, e\mu, \mu\mu$	$e\tau, \mu\tau$	
<b>data</b>	9	9	4	
<b>background</b>	$2.6 \pm 0.6$	$2.4 \pm 0.5$	$2.0 \pm 0.4$	

- Lepton + jets channels:  $e, \mu + 3 \text{ or } 4 \text{ jets} + \text{missing } p_T$

	$D\emptyset$		CDF	
	topological	lepton-tag	SVX-tag	lepton-tag
<b>data</b>	19	11	29	25
<b>background</b>	$8.7 \pm 1.7$	$2.4 \pm 0.5$	$8.0 \pm 1.0$	$13.2 \pm 1.2$

11 events in common

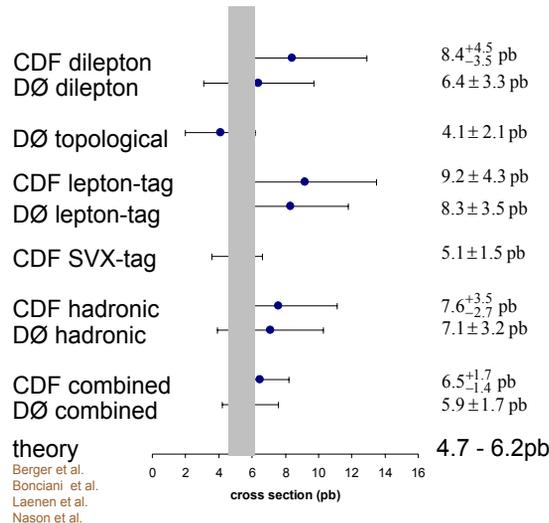
- All jets: 5 or 6 jets, b-tag, neural networks

	$D\emptyset$	CDF
<b>data</b>	41	187
<b>background</b>	$24 \pm 2.4$	$151 \pm 10$

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## Results

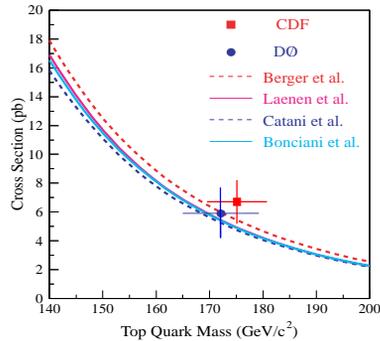


$D\emptyset$ : PRL [79](#) 1203 (1997); CDF: PRL [80](#) 2773 (1998)(+updates)

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## Cross section vs. $m_t$



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## Prospects for Run 2a (2 fb<sup>-1</sup>)

- Precision on top cross section ~8%
  - Statistical Error : 4%
  - Systematic errors assumed to scale with statistics
    - errors from backgrounds: decrease with increased statistics of control samples (2%)
    - jet energy scale (2%)
    - Radiation :
      - » Initial state (2%),
      - » Final state (1%)
  - Limiting Factors ?
    - error on geometric and kinematic acceptances depend on differences between generators (Pythia, Herwig, Isajet) (4%)
    - luminosity error (4%)

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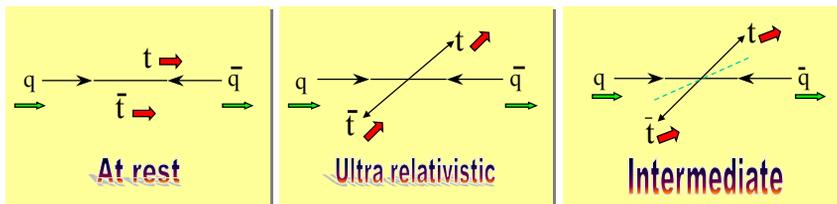
# $t\bar{t}$ spin correlations

- **Standard Model predicts:**
  - 90% of top quark pairs produced at  $\sqrt{s}=1.8$  TeV come from  $q\bar{q}$  annihilation via **spin-1 gluon**  $\Rightarrow$  **source of spin correlation**
  - width  $\Gamma_t = \Gamma(t \rightarrow bW) \approx 1.55$  GeV
  - lifetime  $\tau \approx 4 \times 10^{-25}$  s
  - QCD time scale  $1/\Lambda_{\text{QCD}} \approx \text{few} \times 10^{-24}$  s
  - Top quark decays before losing the spin information at production
    - $\Rightarrow$  **spin can be reconstructed, as decay products carry spin information.**
- **Motivation**
  - Experimental proof that top-quark lifetime is shorter than spin-decorrelation time scale
  - Lower bound on  $\Gamma_t$  and  $|V_{tb}|$
  - Direct probing of the properties of quark, free of QCD long-distance effects
  - Probe for non-standard interactions, both in production and decay

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## Spin Configurations



- **Optimal spin quantization basis is off-diagonal**
- **Basis is determined once the velocity and scattering angle is known**
  - **Only like-spin combinations are produced in this optimized basis**
    - G. Mahlon and S. Parke, PLB 411, 173 (1997)

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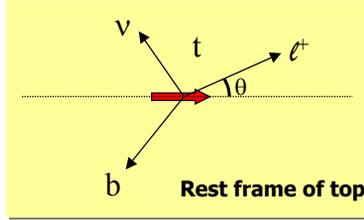


# Decay of polarized top quark

- Differential decay rate of top quark with spin fully aligned:

$$\frac{1}{\Gamma} \frac{d\Gamma}{d(\cos \theta_i)} = \frac{1 + \alpha_i \cos \theta_i}{2}$$

Particle $i$	$\alpha_i$
$l^+$ or $d$	1
$\nu$ or $u$	-0.31
$W^+$	0.41
$b$	-0.41



- To find the direction of spin:
  - measure angle between the off-diagonal basis and the lepton flight direction in rest frame of the top  $\theta_+$ ,  $\theta_-$
  - Spin correlation  $\rightarrow$  correlation in  $\theta_+$  vs.  $\theta_-$  space

$$\frac{1}{\sigma} \frac{d^2\sigma}{d(\cos \theta_+)d(\cos \theta_-)} = \frac{1 + \kappa \cos \theta_+ \cos \theta_-}{4}$$

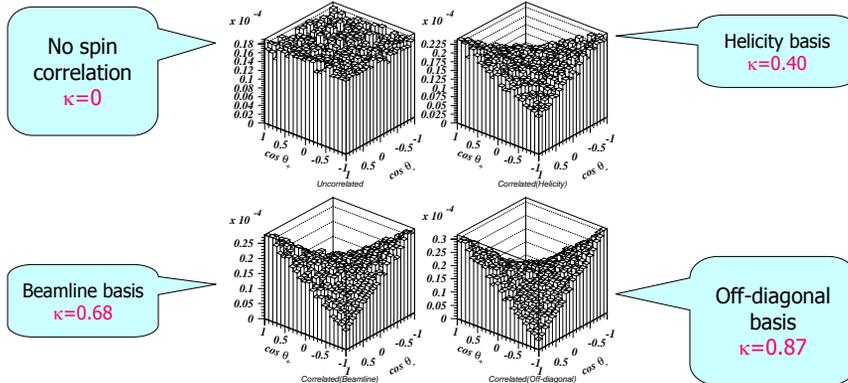
correlation parameter  
SM value  $\approx 0.9$

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## Angular correlations

$$\sim 1 + \kappa \cos \theta_+ \cos \theta_-$$



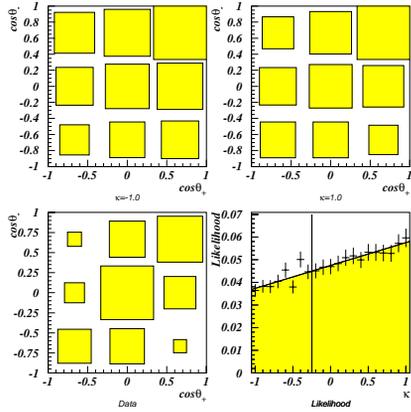
$$\kappa = \frac{N_{like} - N_{unlike}}{N_{like} + N_{unlike}}$$

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# DØ spin correlation analysis

- 6 dilepton events (that's all we have!); use binned 2D likelihood fit



$\kappa > -0.25$  @ 68% CL

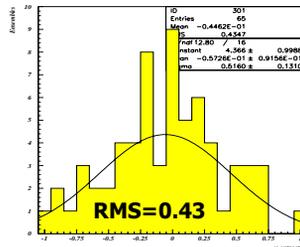
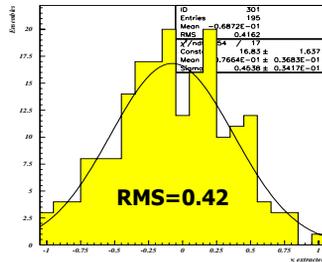
Fermilab-Pub-00/046-E

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# Prospects for Run 2

- Based on ensemble tests of 150 dilepton events ( $1.5 \text{ fb}^{-1}$ )
  - likelihood
  - probability density estimator



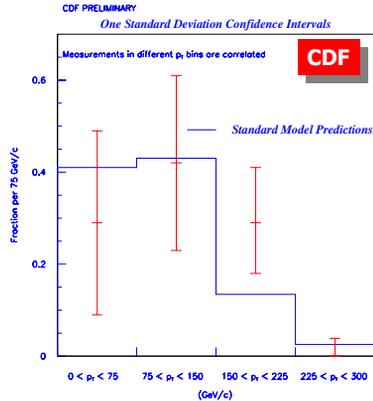
- One can distinguish  $\kappa=0$  from  $\kappa=1$  at greater than  $2\sigma$

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# Top Quark Transverse Momentum

- Another tool for investigating non-standard production mechanisms
- Good agreement with QCD prediction



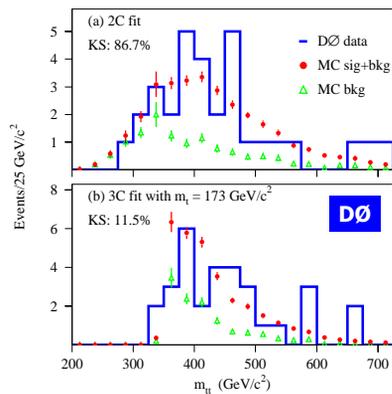
$pr$ Bin	Measured Fraction of Top Quarks
$0 < pr < 75$ GeV/c	$R_1 = 0.29^{+0.18}_{-0.18}(\text{stat})^{+0.03}_{-0.03}(\text{syst})$
$75 < pr < 150$ GeV/c	$R_2 = 0.42^{+0.18}_{-0.18}(\text{stat})^{+0.05}_{-0.05}(\text{syst})$
$150 < pr < 225$ GeV/c	$R_3 = 0.29^{+0.12}_{-0.10}(\text{stat})^{+0.06}_{-0.06}(\text{syst})$
$225 < pr < 300$ GeV/c	$R_4 = 0.000^{+0.035}_{-0.000}(\text{stat})^{+0.019}_{-0.000}(\text{syst})$

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# $\bar{t}t$ resonances

- A general search for heavy objects decaying to top pairs
  - Predicted (for example) in dynamical models of Electroweak Symmetry Breaking where the "Higgs" is a bound state
    - color octet resonances  $\rightarrow \bar{t}t$
    - mass  $\approx$  several hundred GeV
    - Technicolor
      - $gg \rightarrow \eta_T \rightarrow (tt, gg)$
    - Topcolor
      - $qq \rightarrow V_8 \rightarrow (tt, bb)$
- $\Rightarrow$  peak in  $\bar{t}t$  invariant mass



DØ limits on  $\sigma \cdot B$  for  $Z' \rightarrow tt \rightarrow ev4j$

Note: multiply limits  $\times 9$  to remove  $B(W \rightarrow ev)$

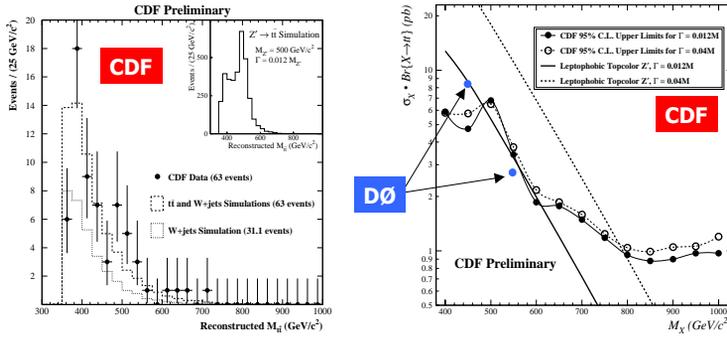
Z' Mass	$\sigma \cdot B$ (95% CL)
350 GeV	1.1 pb
450 GeV	0.9 pb
550 GeV	0.3 pb

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# $t\bar{t}$ resonances

- Use  $W+ \geq 4\text{jet}$  events
- No evidence for a deviation from expectation (KS prob  $\sim 20\%$ )

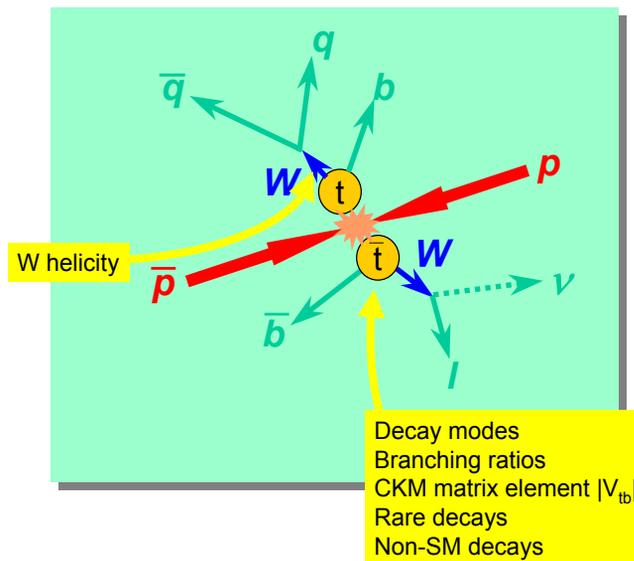


- Use  $t\bar{t}$  invariant mass spectrum to set limits on narrow  $Z'$  resonances in topcolor models
- With  $2\text{fb}^{-1}$ , can probe  $Z'$  resonances up to 1 TeV.

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## Top Quark Decay Properties



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## W helicity in top decays

- Top quarks decay before they hadronize

- polarization of W :

$$\frac{W_{long}}{W_{left}} = 0.5 \left( \frac{m_t}{m_W} \right)^2$$

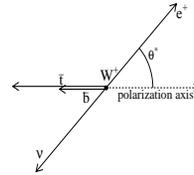
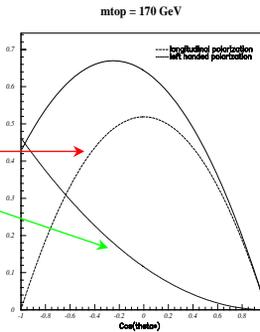
- non-standard top couplings may result in different W polarization

Charged lepton  $p_T$  & angular distribution



Longitudinal W vs. Left Handed W's

$$\delta B(t \rightarrow bW_{long}) \approx 5\%$$



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## W helicity in top decays

- SM top (spin  $\frac{1}{2}$ , V-A coupling)

- top quark decays to longitudinal ( $h_W=0$ ) or left-handed ( $h_W=-1$ ) W bosons

$$\frac{BR(t \rightarrow bW_0)}{BR(t \rightarrow bW_{-1})} = \frac{1}{2} \left( \frac{m_t}{m_W} \right)^2 = \frac{0.70}{0.30}$$

- Lepton  $p_T$  distribution in  $t \rightarrow b\nu$  distinguishes the two helicity states.

- $h_W=0$ : hard  $p_T$
- $h_W=-1$ : soft  $p_T$

- Check for V+A component

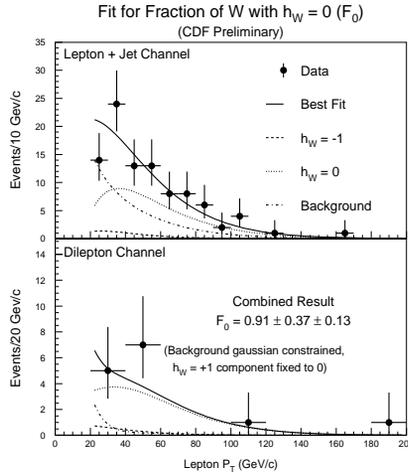
- $F_{+1}$  determined by repeating fit with  $F_0$  constrained to SM value
- should be zero in SM

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# CDF analysis in Run 1

- Use dilepton and lepton+jets  $t\bar{t}$  samples:



$F_0 = 0.91 \pm 0.37$  (stat)  $\pm 0.12$  (sys)  
 $F_{+1} = 0.11 \pm 0.15$  (stat)  $\pm 0.06$  (sys)  
CDF, PRL 84, 216 (2000)

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## $|V_{tb}|$

- $|V_{tb}|$  expected to be close to 1 ( $\geq 0.998$ ), assuming 3 generations
  - if 4<sup>th</sup> generation exists  $\Rightarrow$  no constraints
- Any departure of  $|V_{tb}|$  from 1  $\rightarrow$  indication of non standard physics
  - Extract from

$$R = \frac{B(t \rightarrow W + b)}{B(t \rightarrow W + q)} = \frac{|V_{tb}|^2}{|V_{td}|^2 + |V_{ts}|^2 + |V_{tb}|^2}$$

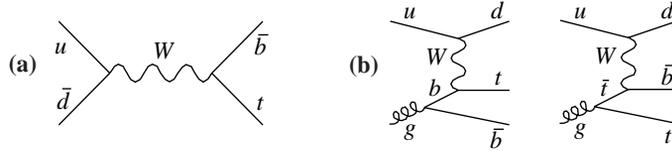
- Measure R using b-tagging in  $t\bar{t}$  decays
  - Count events with zero, single and double tags in l+jets and dilepton events.
  - CDF (RunI): measure  $R = 0.94 + 0.31 / -0.24$ 
    - $|V_{tb}| = 0.97 + 0.16 / -0.12$  or  $|V_{tb}| > 0.75$  at 95% C.L.
      - Assuming 3 generations
    - $|V_{tb}| > 0.046$  at 95% CL
      - Without the 3 generation hypothesis
- Run II projections:  $\delta V_{tb} \approx 2\%$  (with  $2 \text{ fb}^{-1}$ )  
benefits from improvements in b-tagging efficiency and reduced systematic errors

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# Single top production

- Electroweak process:



- SM cross sections
  - $\sigma(\bar{p}p \rightarrow Wg \rightarrow t+X) = 1.7 \pm 0.2 \text{ pb}$  (Stelzer et al.)
  - $\sigma(\bar{p}p \rightarrow W^* \rightarrow t+X) = 0.72 \pm 0.04 \text{ pb}$  (Smith et al.)
- direct access to Wtb vertex: measure top quark width and  $|V_{tb}|$ 
  - $\sigma(qq \rightarrow tb) \propto \Gamma(t \rightarrow W+b) \propto |V_{tb}|^2$ 
    - Measure CKM element  $|V_{tb}|$  without any assumptions on number of generations
- probe of anomalous couplings
  - large production rates
  - anomalous angular distributions

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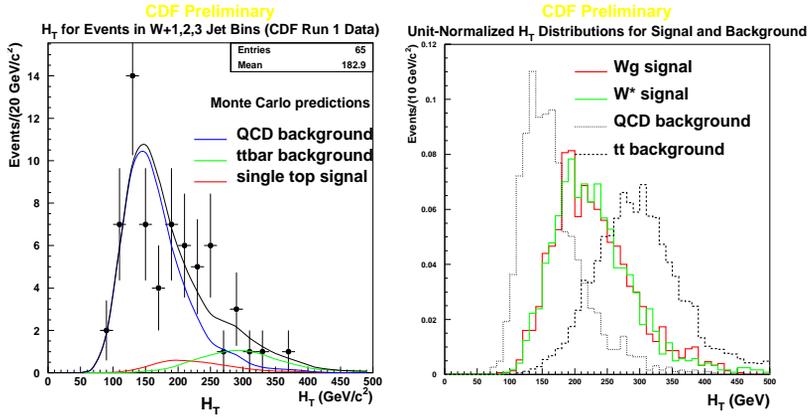
# Single top production

- Event topology
  - W decay products (lepton+neutrino) plus:
    - for the s-channel ( $W^*$ ) process:
      - Two high  $P_T$  central b-jets
    - or for the t-channel ( $Wg$ ) process:
      - One high  $P_T$  central b-jet (from top)
      - One soft, central b-jet
      - One high  $P_T$  forward light quark jet
- Backgrounds:
  - Top pair production, W+jets, multijets
- Ability to extract signal depends on
  - b-tagging efficiency
  - fake lepton and fake b-quark jet reconstruction rates
- Desirable to separately measure the two processes
  - different systematic errors for  $V_{tb}$
  - different sensitivities to new physics
  - measure W and top helicities
    - sensitivity to V+A, anomalous couplings, CP violation etc

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# CDF Run 1 search



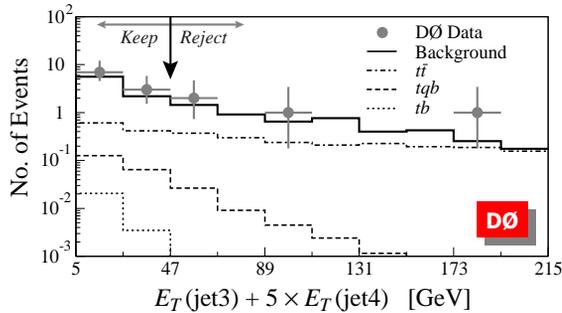
Cross section  $\sigma < 13.5$  pb at 95% CL

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# "Standard" DØ Run 1 search

- Search using 92 pb<sup>-1</sup> data from Run I for s and t channel production of single top quarks
- Optimize S/ $\sqrt{B}$  for best significance



s channel:  $\sigma < 39$  pb at 95% CL  
 t channel:  $\sigma < 58$  pb at 95% CL

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## Neural Network search

- DØ Run 1 analysis repeated with increased efficiency and purity by using **Neural Networks** to discriminate between signal and background
  - Different backgrounds have very different kinematic properties.
  - Train 20 networks, to discriminate each signal type
    - e and  $\mu$  with and without a tag muon
  - from each of the 5 major backgrounds
    - Wjj
    - Wbb
    - WW
    - tt
    - Misidentified leptons
- This is a lot of work, but the results are about a factor of two better:

s channel:  $\sigma < 17$  pb at 95% CL

t channel:  $\sigma < 22$  pb at 95% CL

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## Single top prospects

- Production Cross section too low to see single top in Run 1
- In Run 2:
  - Using  $2 \text{ fb}^{-1}$ , expect to see a clear signal
  - Use it to measure
    - $\sigma(\text{qq} \rightarrow \text{tb})$  to  $\sim 20\%$
    - $\Gamma(\text{t} \rightarrow \text{W}+\text{b})$  to  $\sim 25\%$
    - $V_{\text{tb}}$  to  $\sim 12\%$
  - Note single top will be a background for Higgs searches and many new physics signatures

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## Rare decays: SM and beyond

- Within the Standard Model**

$$t \rightarrow Wb + g/\gamma$$

$$t \rightarrow Wb + Z$$

$$t \rightarrow Wb + H^0$$

$$t \rightarrow W + s/d$$

Near kinematic threshold

Beyond threshold

Measure CKM matrix element

- Beyond the SM**

Run II sensitivity

$$t \rightarrow c/u + g/\gamma \quad (\text{FCNC}) \quad < 1.4\% / 0.3\%$$

$$t \rightarrow c/u + Z \quad (\text{FCNC}) \quad < 2\%$$

$$t \rightarrow c/u + H^0 \quad (\text{FCNC})$$

SM predictions for FCNC decays  $\sim 10^{-10}$

Observation of these decays would signal new physics

$$t \rightarrow H^+ + b \quad (\text{SUSY}) \quad < 11\%$$

- Current limits on rare decays (CDF)

- $\text{BR}(t \rightarrow Zq) < 33\% \text{ @ } 95\% \text{ CL}$

- $\text{BR}(t \rightarrow \gamma q) < 3.2\% \text{ @ } 95\% \text{ CL}$

- Search for  $t \rightarrow H^+ b$  ( $D\emptyset$ )

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## Top Quark Yukawa Coupling

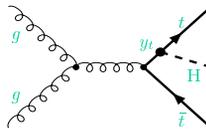
- In the SM, fermions acquire mass via Yukawa couplings to Higgs field (free parameters in the SM but set proportional to the fermion mass)

- for the top quark  $y_t = \sqrt{2} \frac{m_t}{v} \approx 1$

- Large value of  $m_t$  has generated proposals for alternate mechanisms (e.g. topcolor) in which top plays a role in EW symmetry breaking

**A direct measurement of  $y_t$  is of extreme interest!**

- Measure  $y_t$  via associated Higgs production (ttH):



- for  $m_H < 130 \text{ GeV}$ ,  $H \rightarrow \bar{b}b$  is the dominant decay

- look for events with  $W(\rightarrow \nu)W(\rightarrow jj) + 4b\text{-jets}$

- A recent feasibility study finds it may be possible to carry out this measurement at the Tevatron with large data samples in RunII

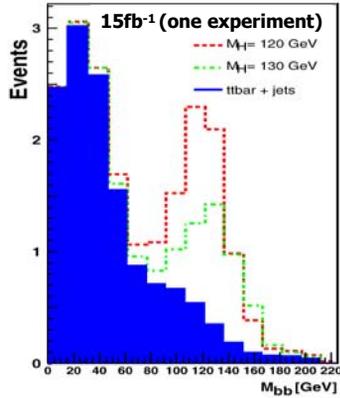
Goldstein et al., hep-ph/0006311

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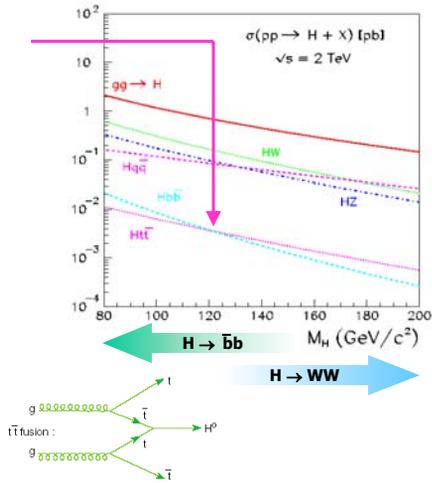


# Associated production $t\bar{t} + \text{Higgs}$

- Cross section very low (few fb) but signal:background good
- Major background is  $t\bar{t} + \text{jets}$
- Signal at the few event level:



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Tests top quark Yukawa coupling

